

# OPTICS

# PHYS 311

Dr Reem M. Al-Tuwirqi

# DIFFRACTION

# INTRODUCTION

## DIFFRACTION

**A general characteristic of wave phenomenon which occurs whenever a portion of a wavefront is obstructed in some way.**

***It is any deviation from geometrical optics as a result of obstruction of a wavefront of light.***

**Diffraction is a consequence of the wave character of light.**

# INTRODUCTION

- **Diffraction is also seen when obstacles causes local variation in the amplitude or phase of the wavefront of the transmitted light. ← Tiny bubbles & imperfection in a glass lens.**
- **Diffraction also cause blurriness on the edge of optical images. ← *Diffraction-limited optics.***

# INTRODUCTION

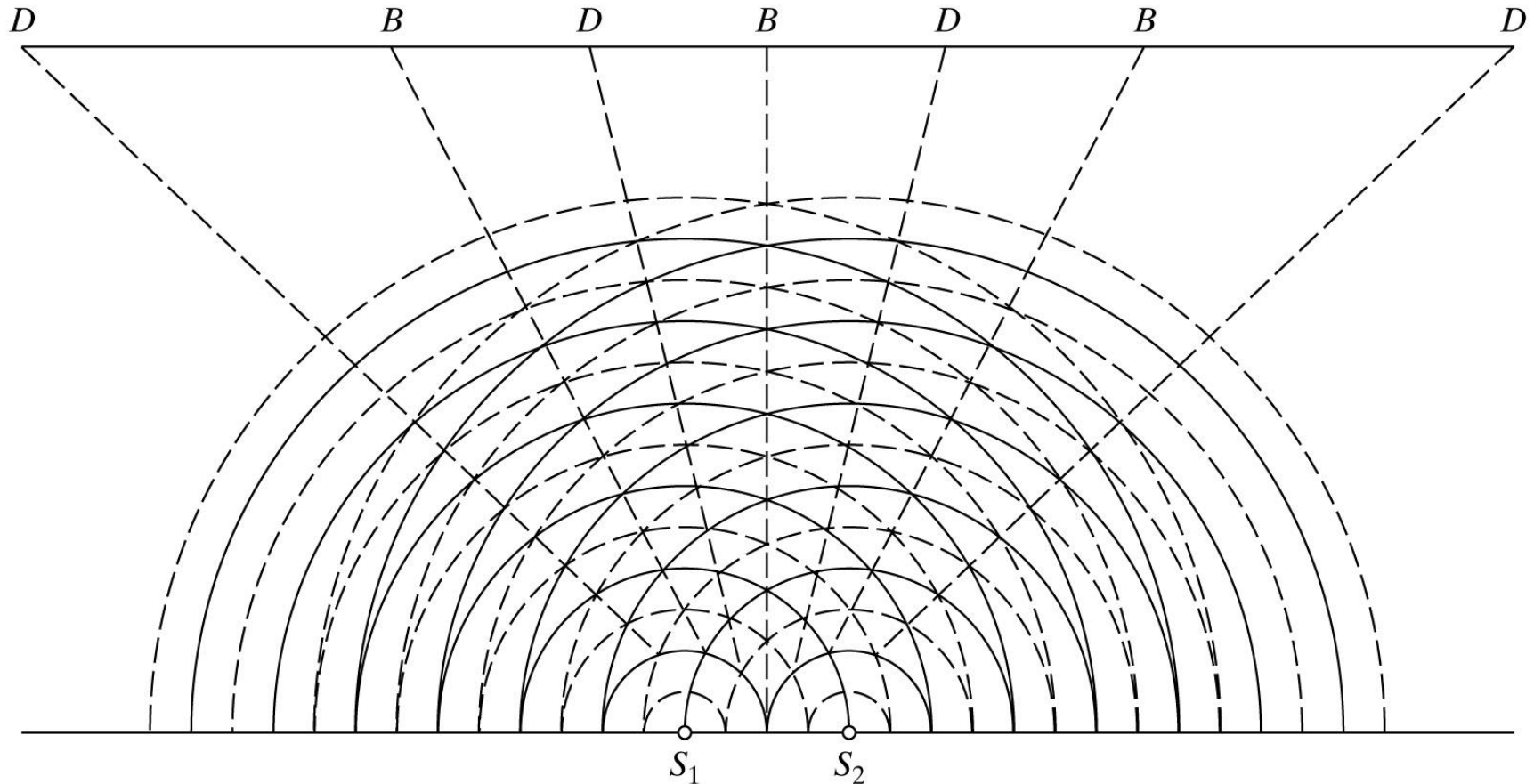
## *Huygens-Fresnel principle:*

**Huygens** ← every point on a wavefront of light can be considered a source of secondary spherical wavelets.

**Fresnel** ← The actual field at any point beyond the wavefront is a superposition of all these wavelets, taking into account both their amplitudes and phases.

**What is the difference between interference and diffraction??**

# INTRODUCTION



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# INTRODUCTION

## *Classification of Diffraction Effects*

Bases on the mathematical approximations possible when calculating the resultant fields.

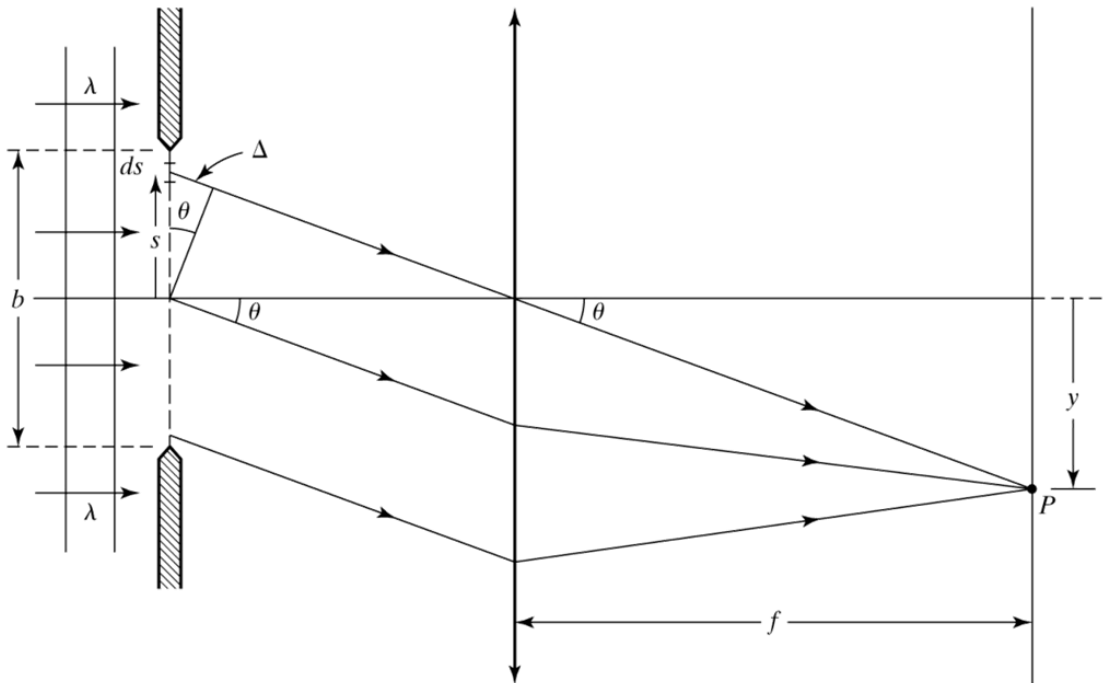
### Fraunhofer (far-field) diffraction:

- Both source and screen are far enough from the diffraction aperture.
- Wavefronts arriving at aperture and screen maybe considered plane.

### •Fresnel (near-field) diffraction:

- Both source and screen are near the diffraction aperture.
- The curvature of the wavefronts must be considered.

# DIFFRACTION FROM A SINGLE SLIT

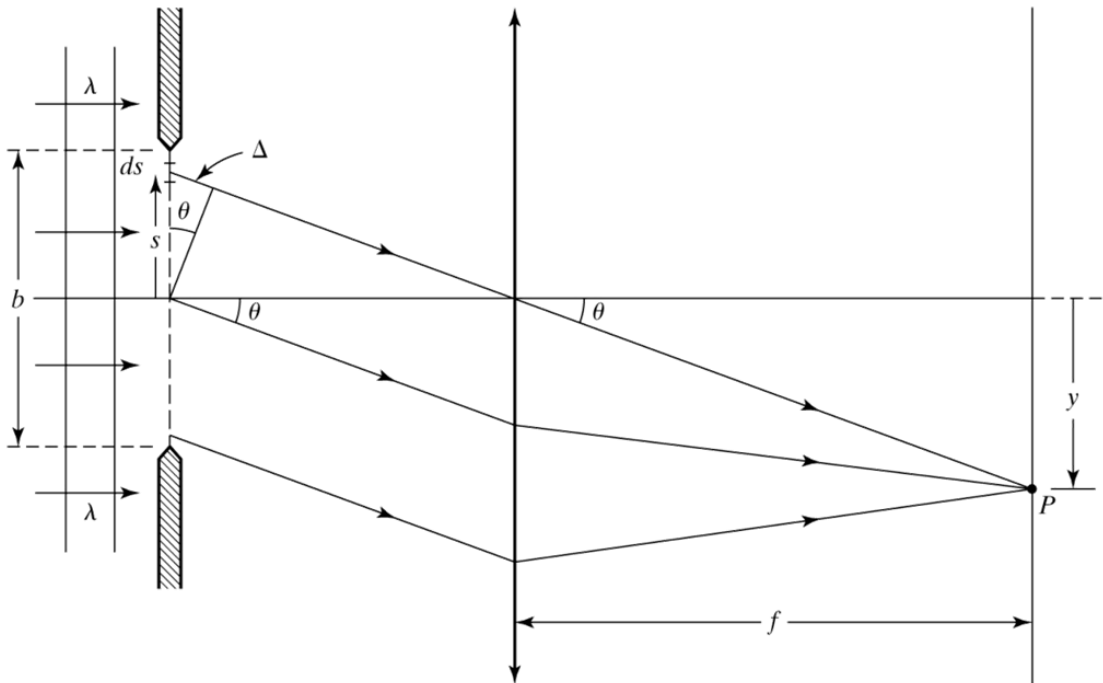


**For  
Fraunhofer  
diffraction**

- Incident waves are parallel  $\leftarrow$  How??
- Observation screen at infinity  $\leftarrow$  How??
- Light reaching  $P$  is due to parallel rays from different portions of the wavefront at the slit.
- The waves do NOT arrive at  $P$  in phase  $\leftarrow$  Why??



# DIFFRACTION FROM A SINGLE SLIT



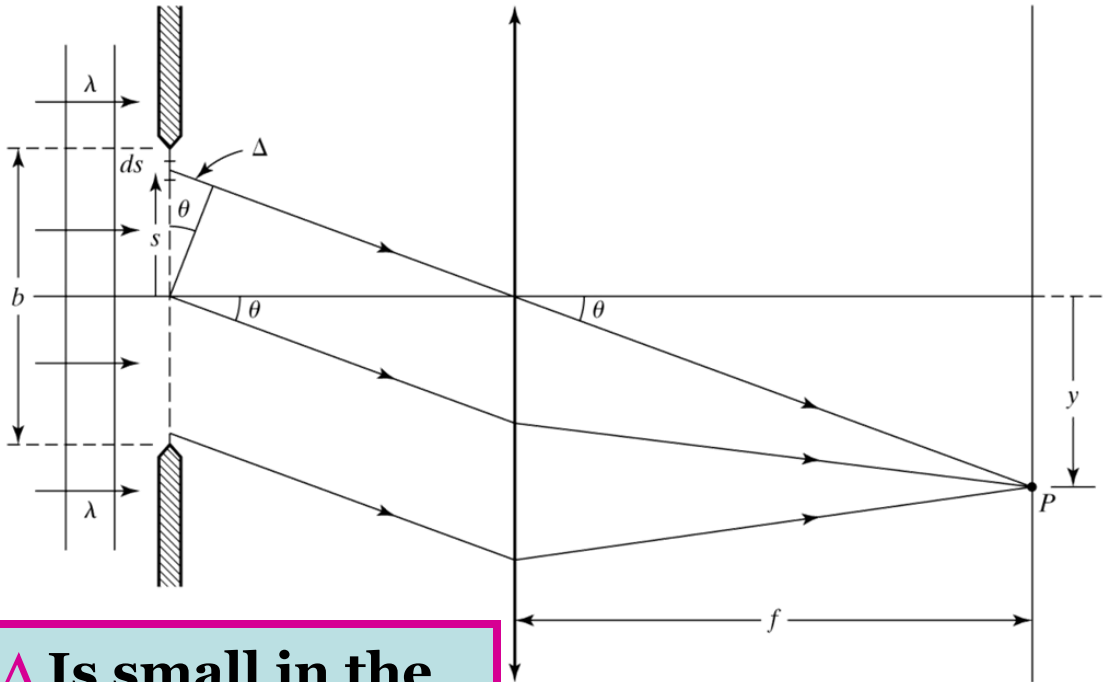
optical path-length of a ray from the center of the slit is shorter than one leaving a distance  $s$  above the optical axis by an amount



How to find the diffraction effect at P?

- Consider each interval of length  $ds$  as a source.
- Integrate over the entire slit width  $b$  to find the result of all such sources.

# DIFFRACTION FROM A SINGLE SLIT



Each interval contributes a spherical wavelet at P whose magnitude:

$$dE_p = \left( \frac{E_L ds}{r} \right) e^{i(kr - \omega t)}$$

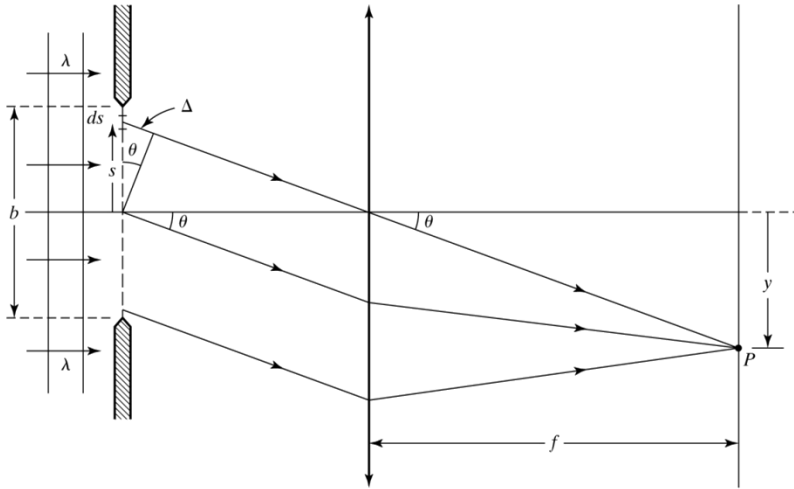
$\Delta$  Is small in the amplitude factor but can't be ignored in the phase factor!!

Also  $\Delta = s \sin \theta$

Let us set  $r = r_o$  for the wave from the center of the slit (at  $s = 0$ ), then:

$$dE_p = \left( \frac{E_L ds}{r_o + \Delta} \right) e^{i[k(r_o + \Delta) - \omega t]} = \left( \frac{E_L ds}{r_o + \Delta} \right) e^{i(kr_o - \omega t)} e^{ik\Delta}$$

# DIFFRACTION FROM A SINGLE SLIT



$$dE_p = \left( \frac{E_L ds}{r_o} \right) e^{i(kr_o - \omega t)} e^{ikssin\theta}$$

**Integrate over the width of the slit:**

$$E_p = \int_{\text{slit}} dE_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \int_{-b/2}^{b/2} e^{ikssin\theta} ds$$

**Inserting the limits of integration:**

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \left( \frac{e^{ikssin\theta}}{iks \sin \theta} \right)_{-b/2}^{b/2}$$

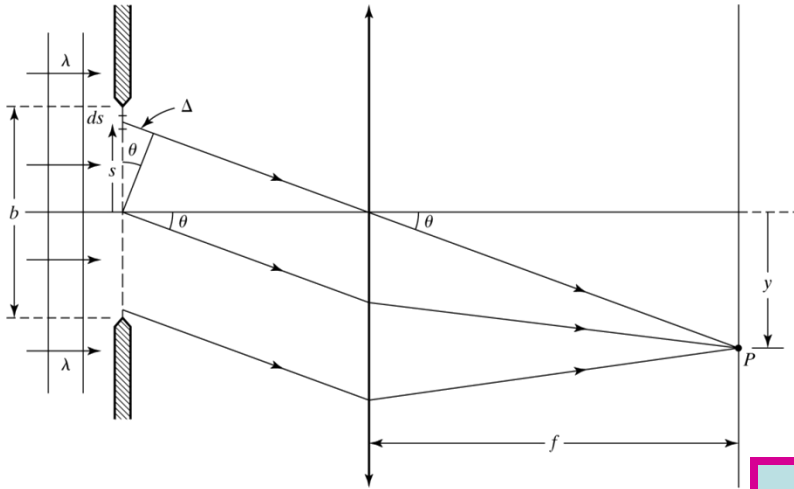
$$\beta \equiv \frac{1}{2} kb \sin \theta$$

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{e^{(ikbsin\theta)/2} - e^{-(ikbsin\theta)/2}}{ik \sin \theta}$$

**Using Euler's Equation:**

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{b(e^{i\beta} - e^{-i\beta})}{2i\beta} = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{b(2i \sin \beta)}{2i\beta}$$

# DIFFRACTION FROM A SINGLE SLIT



**Simplifying..**

$$\beta \equiv \frac{1}{2} kb \sin \theta$$

$$E_p = \frac{E_L b}{r_o} \frac{\sin \beta}{\beta} e^{i(kr_o - \omega t)}$$

**$\beta$  Can be interpreted as a phase difference  $\leftarrow$  How??**

$$\text{Phase difference} = k \Delta \rightarrow \Delta = (b/2) \sin \theta$$

**The irradiance at P is:**

$$I = \left( \frac{\epsilon_o c}{2} \right) E_o^2 = \frac{\epsilon_o c}{2} \left( \frac{E_L b}{r_o} \right)^2 \frac{\sin^2 \beta}{\beta^2}$$

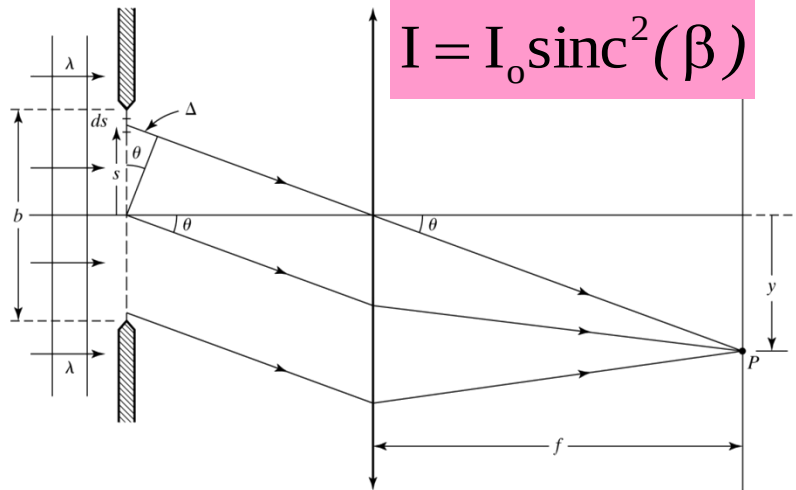
$$I = I_o \left( \frac{\sin^2 \beta}{\beta^2} \right) = I_o \text{sinc}^2(\beta)$$

**The amplitude of the electric field at P is:**

$$E_o = \frac{E_L b}{r_o} \frac{\sin \beta}{\beta}$$



# DIFFRACTION FROM A SINGLE SLIT



We can now plot the variation of irradiance with vertical displacement  $y$  from the symmetry axis at the screen..

We know that:

$$\lim_{\beta \rightarrow 0} \text{sinc}(\beta) = \lim_{\beta \rightarrow 0} \left( \frac{\sin \beta}{\beta} \right) = 1$$

And..

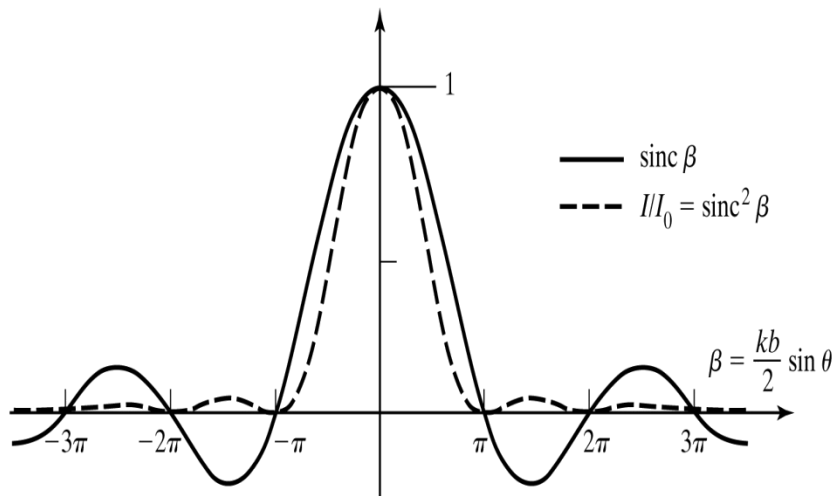
$$\text{sinc}(\beta) = 0 \text{ when } \sin \beta = 0$$

That is..

$$\beta = \frac{1}{2}(kb \sin \theta) = m\pi, \quad m = \pm 1, \pm 2, \dots$$

The zeros of the Irradiance is:

$$m\lambda = b \sin \theta, \quad m = \pm 1, \pm 2, \dots$$



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# DIFFRACTION FROM A SINGLE SLIT

The zeros of the Irradiance is:

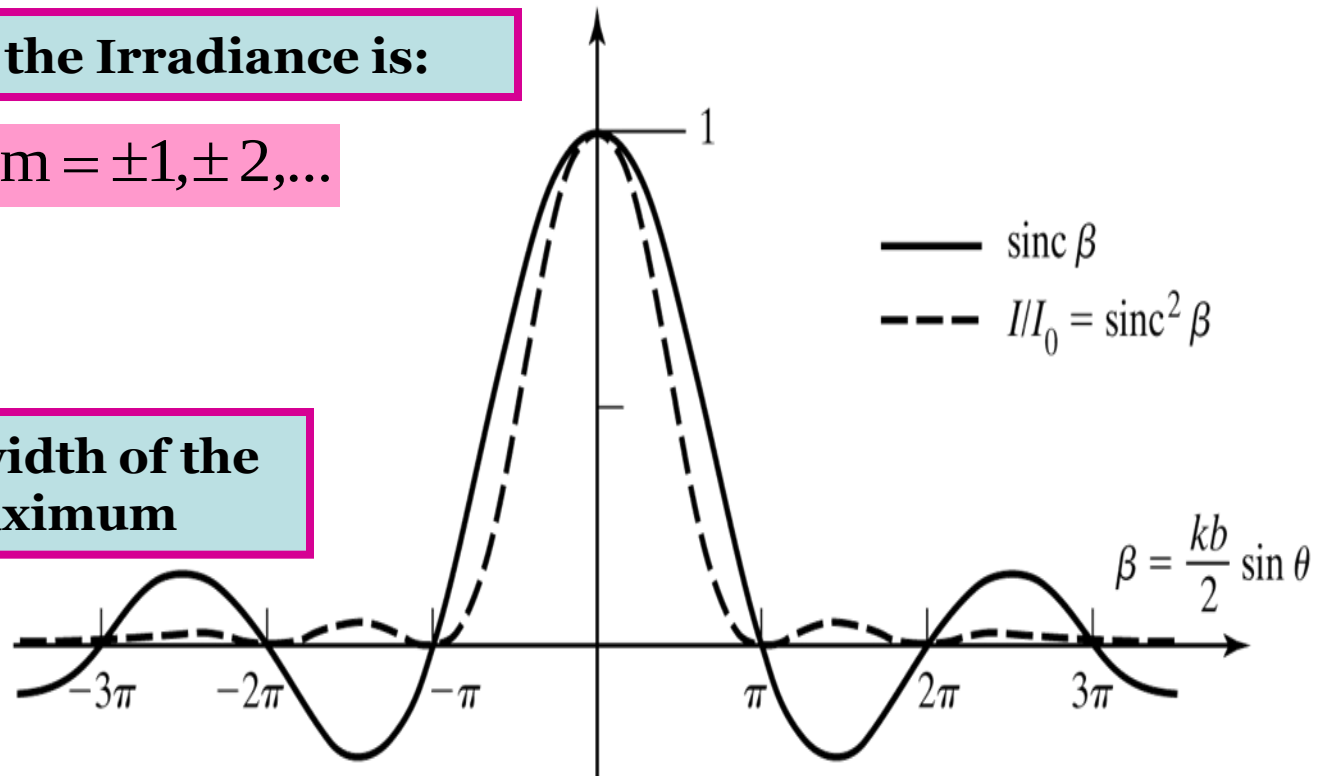
$$m\lambda = b \sin \theta, m = \pm 1, \pm 2, \dots$$

$$y_m \cong \frac{m\lambda f}{b}$$

The angular width of the central maximum

$$\Delta\theta = \frac{2\lambda}{b}$$

On what does it depend??



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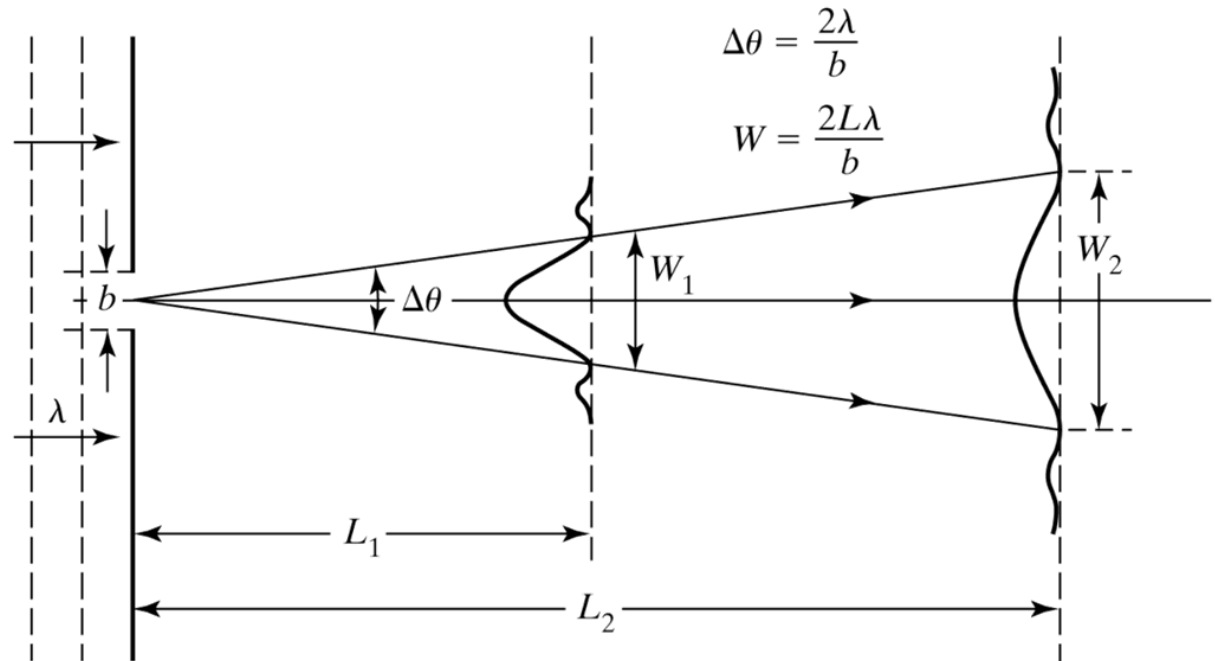
- The central maximum represent the image of the slit..
- Secondary maxima cause the blurring of the image due to diffraction..

# BEAM SPREADING

$$\Delta\theta = \frac{2\lambda}{b}$$

**The angular spread  $\Delta\theta$  of the central maximum in the far field is independent of the distance between the aperture and screen.**

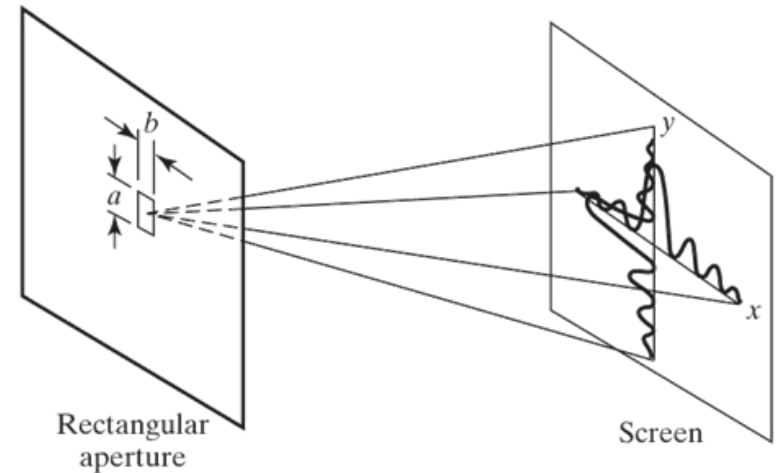
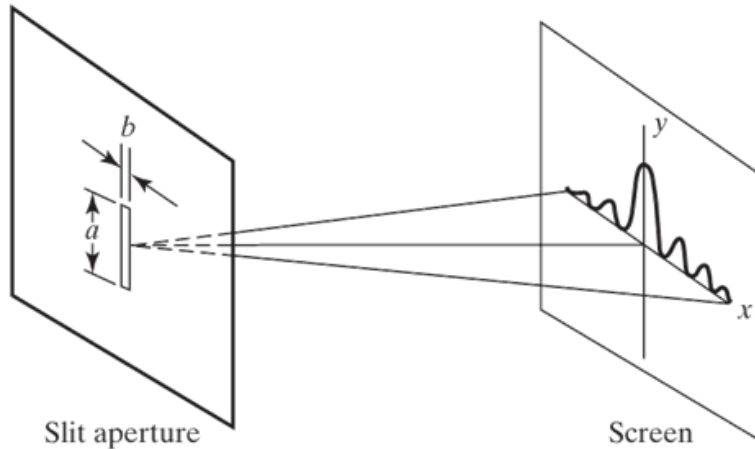
$$W = L\Delta\theta = \frac{2L\lambda}{b}$$



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# RECTANGULAR AND CIRCULAR APERTURES

When both dimension of the slit are comparable and small, each produces appreciable spreading.



$$I = I_0 \left( \frac{\sin \alpha}{\alpha} \right)^2 \quad \text{where } \alpha \equiv \left( \frac{k}{2} \right) a \sin \theta$$

For aperture dimension  $a$ , we write for irradiance

$$y_m = \frac{m\lambda f}{b} \quad \text{or} \quad x_n = \frac{n\lambda f}{a}$$

The two dimensional pattern gives zero irradiance for points  $x$  and  $y$ .

$$I = I_0 (\text{sinc}^2 \beta) (\text{sinc}^2 \alpha)$$

Irradiance over the screen is the product of the irradiance in each dimension



# RECTANGULAR AND CIRCULAR APERTURES

**In the case of a circular apertures:**

- 1. We replace of the incremental electric field amplitude  $E_L ds/r_o$  by  $E_A dA/r_o$ .**
- 2. We integrate over the aperture area.**

$$E_p = \frac{E_A}{r_o} e^{i(kr_o - \omega t)} \iint_{\text{Area}} e^{ikssin\theta} dA$$

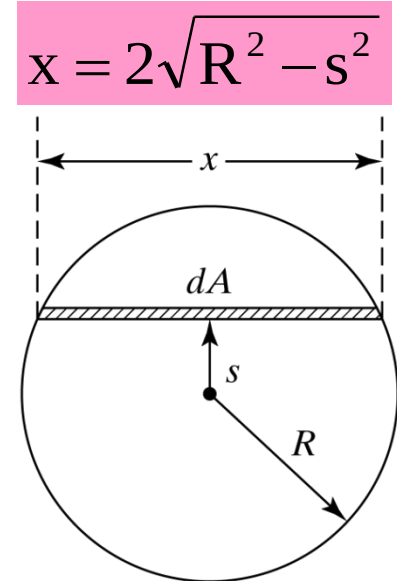
**We take the rectangular strip of area  $dA = x ds$**

$$E_p = \frac{2E_A}{r_o} e^{i(kr_o - \omega t)} \int_{-R}^R e^{ikssin\theta} \sqrt{R^2 - s^2} ds$$

**Substituting  $v = s/R$  and  $\gamma = kR \sin\theta$**

$$E_p = \frac{2E_A R^2}{r_o} e^{i(kr_o - \omega t)} \int_{-1}^{+1} e^{i\gamma v} \sqrt{1 - v^2} dv$$

$$\int_{-1}^{+1} e^{i\gamma v} \sqrt{1 - v^2} dv = \frac{\pi J_1(\gamma)}{\gamma}$$



# RECTANGULAR AND CIRCULAR APERTURES

$$J_1(\gamma) = \frac{\gamma}{2} - \frac{(\gamma/2)^3}{1^2 \cdot 2} + \frac{(\gamma/2)^5}{1^2 \cdot 2^2 \cdot 3} - \dots$$

$$\int_{-1}^{+1} e^{i\gamma v} \sqrt{1-v^2} dv = \frac{\pi J_1(\gamma)}{\gamma}$$

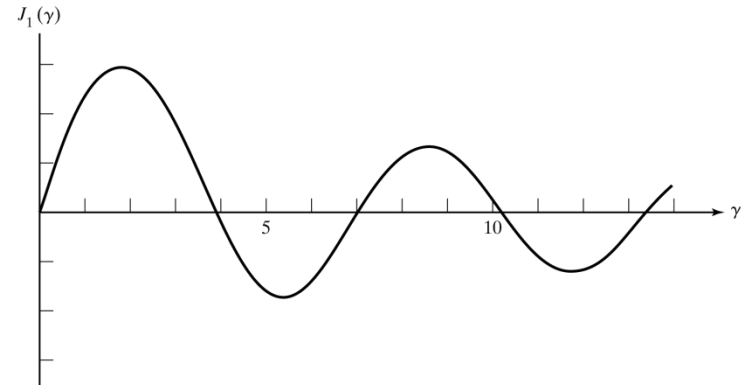
**$J_1(\gamma)/\gamma$  has the limit of  $1/2$  as  $\gamma \rightarrow 0$**

**For a circular aperture, instead of a sine function we use a Bessel function.**

$$E_p = \frac{2E_A R^2}{r_o} e^{i(kr_o - \omega t)} \frac{\pi J_1(\gamma)}{\gamma}$$

$$\gamma = kR \sin \theta$$

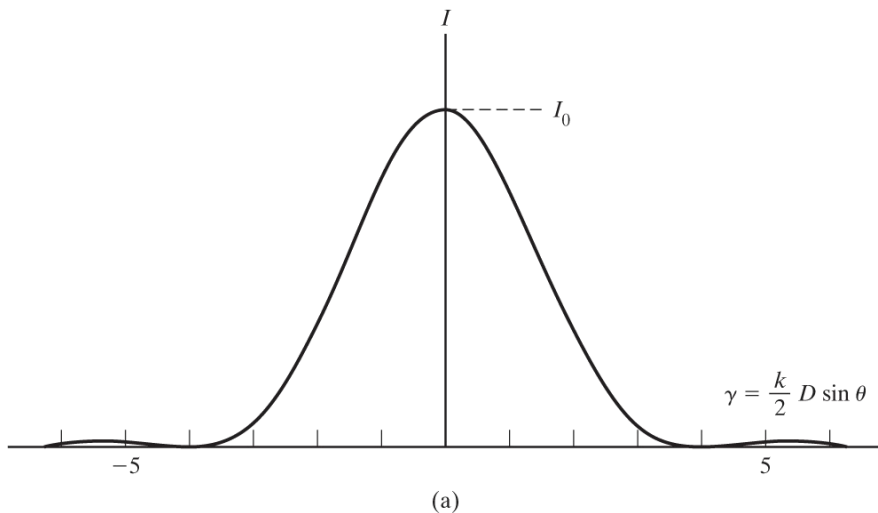
$$I = I_o \left( \frac{2J_1(\gamma)}{\gamma} \right)^2, \quad \text{where } \gamma \equiv \frac{1}{2} kD \sin \theta$$



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# RECTANGULAR AND CIRCULAR APERTURES

*The pattern is symmetrical about the optical axis through the center of the circular aperture and has its first zero when  $\gamma = 3.832$*



$$\gamma = \left(\frac{k}{2}\right) D \sin \theta = 3.832 \quad \text{or} \quad D \sin \theta = 1.22\lambda$$

$$m\lambda = b \sin \theta, \quad m = \pm 1, \pm 2, \dots$$

$$I = I_0 \left( \frac{2J_1(\gamma)}{\gamma} \right)^2, \quad \text{where } \gamma \equiv \frac{1}{2} kD \sin \theta$$

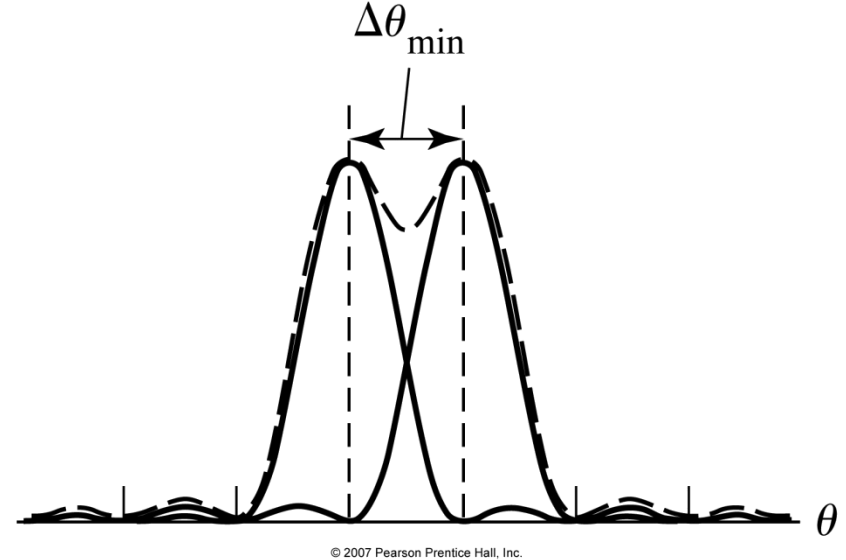
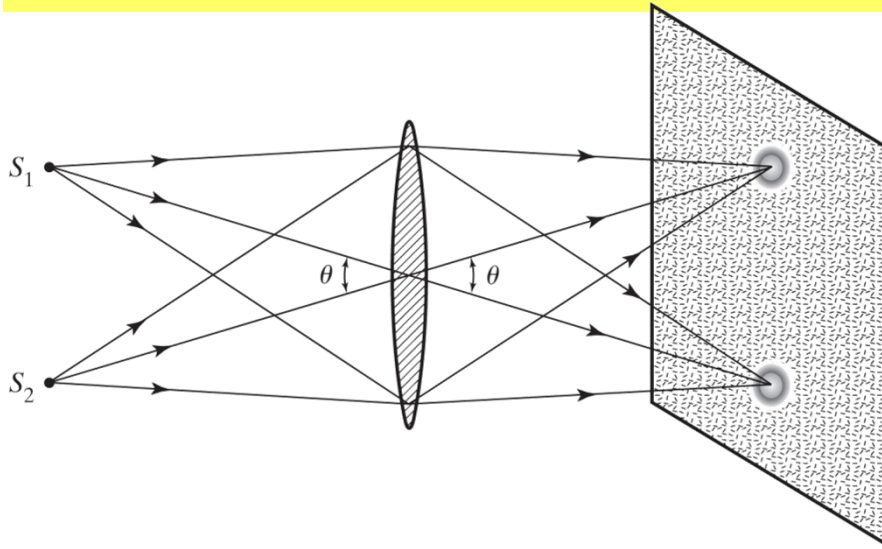
|                         | $\gamma$ | $I/I_0 = (2J_1(\gamma)/\gamma)^2$ |
|-------------------------|----------|-----------------------------------|
| 1 <sup>st</sup> Maximum | 0        | 1                                 |
| 1 <sup>st</sup> Zero    | 3.832    | 0                                 |
| 2 <sup>nd</sup> Maximum | 5.136    | 0.0175                            |
| 2 <sup>nd</sup> Zero    | 7.016    | 0                                 |
| 3 <sup>rd</sup> Maximum | 8.417    | 0.00416                           |
| 3 <sup>rd</sup> Zero    | 10.173   | 0                                 |
| 4 <sup>th</sup> Maximum | 11.620   | 0.00160                           |
| 4 <sup>th</sup> Zero    | 13.324   | 0                                 |

(b)

*The diffracted image of the circular aperture is called the **Airy disc**. Its angular half-width is:*

$$\Delta\theta_{1/2} = \frac{1.22\lambda}{D}$$

# RESOLUTION



**The point objects and the centers of their Airy discs are both separated by the angle  $\theta$ .**

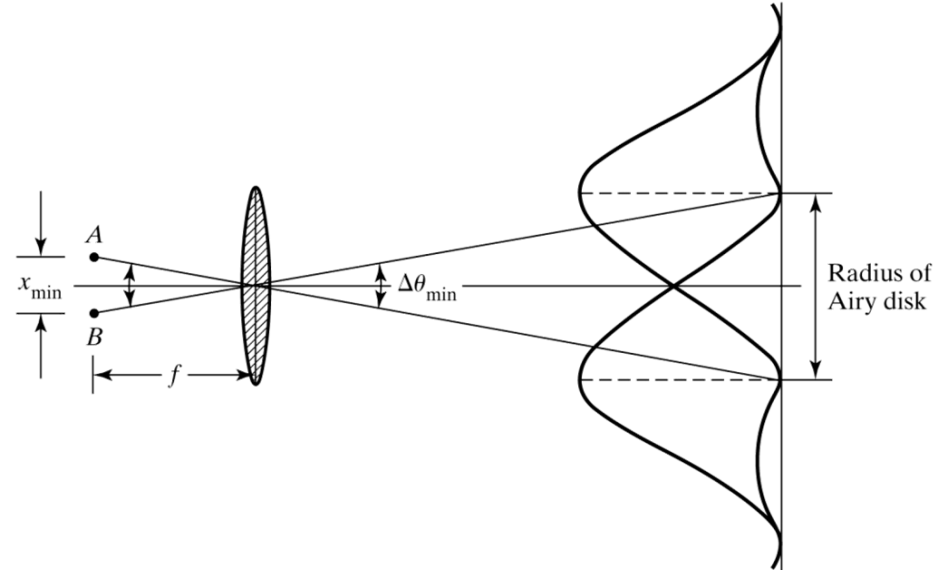
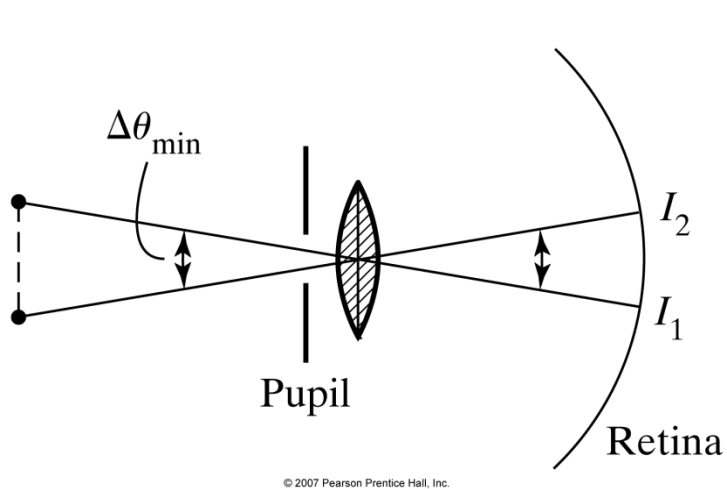
**If  $\theta$  is large enough, two distinct images will be clearly seen.**

***The Rayleigh's criterion:***  
***The angular separation of the centers of the image patterns be no less than the angular radius of the Airy disc***

$$(\Delta\theta)_{\min} = \frac{1.22\lambda}{D}$$

***Limit of resolution***  
***D: diameter of the lens***

# RESOLUTION



**Diffraction by the eye  
with pupil as aperture  
limits the resolution of  
objects subtending angle**

$$\Delta\theta_{\min}$$

$$x_{\min} = f(\Delta\theta)_{\min} = f\left(\frac{1.22\lambda}{D}\right)$$

***$D/f$ : The numerical  
aperture ( $\sim 1.2$ )***

$$x_{\min} = \lambda$$

# DOUBLE-SLIT DIFFRACTION

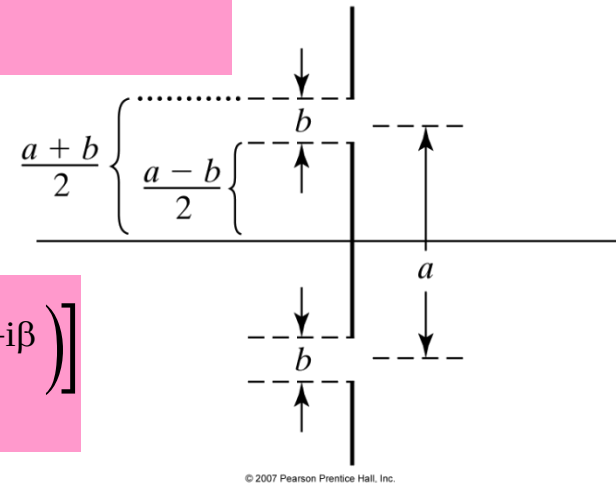
$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \int_{-(1/2)(a+b)}^{-(1/2)(a-b)} e^{isk \sin \theta} ds + \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \int_{(1/2)(a-b)}^{(1/2)(a+b)} e^{isk \sin \theta} ds$$

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{1}{ik \sin \theta} [e^{(1/2)ik(-a+b)\sin \theta} - e^{(1/2)ik(-a-b)\sin \theta} + e^{(1/2)ik(a+b)\sin \theta} - e^{(1/2)ik(a-b)\sin \theta}]$$

$$\beta \equiv \frac{1}{2} kb \sin \theta \quad \alpha \equiv \frac{1}{2} ka \sin \theta$$

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{b}{2i\beta} [e^{i\alpha} (e^{i\beta} - e^{-i\beta}) + e^{-i\alpha} (e^{i\beta} - e^{-i\beta})]$$

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{b}{2i\beta} (2i \sin \beta)(2 \cos \alpha)$$



# DOUBLE-SLIT DIFFRACTION

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \frac{2b \sin \beta}{\beta} \cos \alpha$$

$$E_o = \frac{E_L}{r_o} \frac{2b \sin \beta}{\beta} \cos \alpha$$

$$I = \left( \frac{\epsilon_o c}{2} \right) E_o^2 = \left( \frac{\epsilon_o c}{2} \right) \left( \frac{2E_L b}{r_o} \right)^2 \left( \frac{\sin \beta}{\beta} \right)^2 \cos^2 \alpha$$

$$I = 4I_o \left( \frac{\sin \beta}{\beta} \right)^2 \cos^2 \alpha$$

$$I_o = \left( \frac{\epsilon_o c}{2} \right) \left( \frac{E_L b}{r_o} \right)^2$$

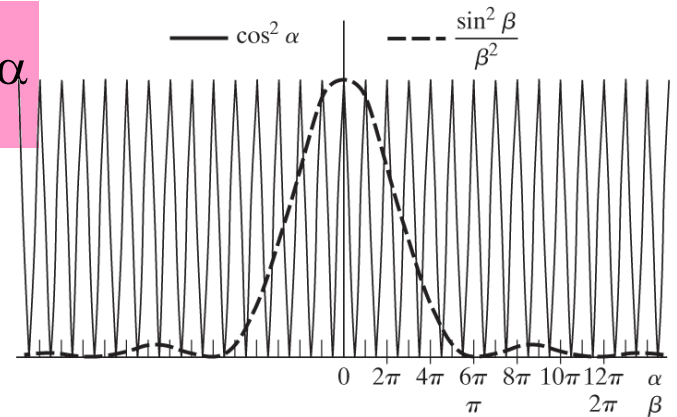
$$\cos^2 \alpha = \cos^2 \left[ \frac{ka(\sin \theta)}{2} \right] = \cos^2 \left[ \frac{\pi a(\sin \theta)}{\lambda} \right]$$

diffraction minima :  $m\lambda = b \sin \theta$

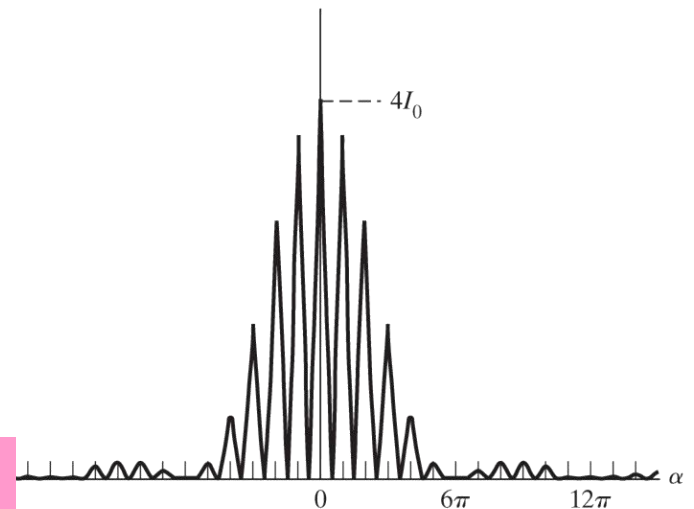
interference maxima :  $p\lambda = a \sin \theta$

**Condition of missing orders**

$$a = \left( \frac{p}{m} \right) b$$



(a)



(b)

# DIFFRACTION FROM MANY SLITS

*For an aperture of multiple slits (a grating) we extend the integration over  $N$  slits.*

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \sum_{j=1}^{N/2} \left\{ \int_{[-(2j-1)a-b]/2}^{[-(2j-1)a+b]/2} e^{isk \sin \theta} ds + \int_{[(2j-1)a-b]/2}^{[(2j-1)a+b]/2} e^{isk \sin \theta} ds \right\}$$

$$K = \frac{1}{ik \sin \theta} \left[ e^{-ik \sin \theta [-(2j-1)a-b]/2} - e^{-ik \sin \theta [(2j-1)a+b]/2} \right] \\ + \frac{1}{ik \sin \theta} \left[ e^{ik \sin \theta [(2j-1)a+b]/2} - e^{-ik \sin \theta [(2j-1)a-b]/2} \right]$$

$$K = \frac{b}{2i\beta} \left[ e^{-i(2j-1)\alpha} (e^{i\beta} - e^{-i\beta}) + e^{i(2j-1)\alpha} (e^{i\beta} - e^{-i\beta}) \right]$$

$$K = 2b \frac{\sin \beta}{\beta} \operatorname{Re} \left[ e^{i(2j-1)\alpha} \right]$$

$$S = 2b \frac{\sin \beta}{\beta} \operatorname{Re} \sum_{j=1}^{N/2} e^{i(2j-1)\alpha}$$



# DIFFRACTION FROM MANY SLITS

*For an aperture of multiple slits (a grating) we extend the integration over  $N$  slits.*

$$S = 2b \frac{\sin \beta}{\beta} \operatorname{Re} \sum_{j=1}^{N/2} e^{i(2j-1)\alpha}$$

$$S = 2b \frac{\sin \beta}{\beta} \operatorname{Re} [e^{i\alpha} + e^{i3\alpha} + e^{i5\alpha} + \dots + e^{i(N-1)\alpha}]$$

$$a \left( \frac{r^n - 1}{r - 1} \right) = e^{i\alpha} \left[ \frac{(e^{2i\alpha})^{N/2} - 1}{e^{2i\alpha} - 1} \right] = \frac{e^{iN\alpha} - 1}{e^{i\alpha} - e^{-i\alpha}}$$

$$\frac{(\cos N\alpha - 1) + i \sin N\alpha}{2i \sin \alpha} = \frac{i(\cos N\alpha - 1) - \sin N\alpha}{-2 \sin \alpha}$$

$$S = b \frac{\sin \beta}{\beta} \frac{\sin N\alpha}{\sin \alpha}$$

$$E_p = \frac{E_L}{r_o} e^{i(kr_o - \omega t)} \left\{ \frac{b \sin \beta}{\beta} \frac{\sin N\alpha}{\sin \alpha} \right\}$$

$$I = I_o \left( \frac{\sin \beta}{\beta} \right)^2 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2$$

# DIFFRACTION FROM MANY SLITS

$$I = I_0 \left( \frac{\sin \beta}{\beta} \right)^2 \left( \frac{\sin N\alpha}{\sin \alpha} \right)^2$$



**diffraction**

**interference**

$$\lim_{\alpha \rightarrow m\pi} \frac{\sin N\alpha}{\sin \alpha} = \lim_{\alpha \rightarrow m\pi} \frac{N \cos N\alpha}{\cos \alpha} = \pm N$$

**Irradiance at the principal maxima  $\propto N^2$**

**Location:  $\alpha = 0, \pm\pi, \pm2\pi, \pm3\pi, \dots$**

$$\alpha = \frac{p\pi}{N}, \quad p = 0, \pm 1, \pm 2, \dots, \pm N \dots \pm 2N$$

**Principal maxima**

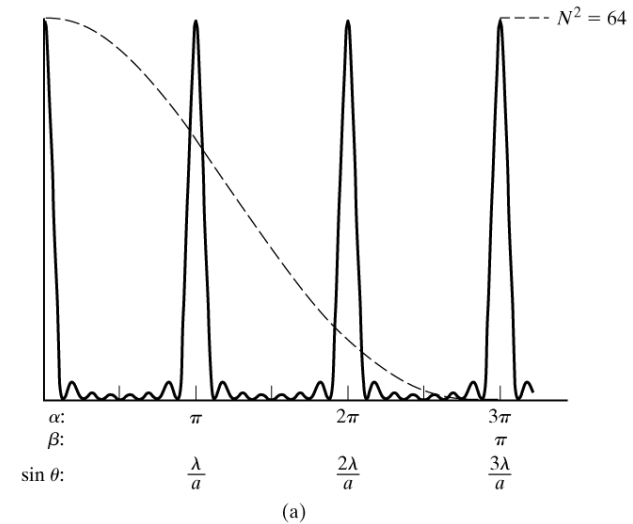
$$p = 0, \pm N, \pm 2N, \dots$$

$$\alpha = m\pi, \quad m = 0, \pm 1, \pm 2, \dots$$

$$m\lambda = a \sin \theta$$

Dr Reem Al-Tuwirqi

Optics 311 - Diffraction



**There are  $N-2$  secondary peak**

